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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/694,739	10/29/2003	Akihito Kubota	N3236.0043	5292
32172	7590	10/15/2004		
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS (6TH AVENUE) 41 ST FL. NEW YORK, NY 10036-2714			EXAMINER TRAIL, ALLYSON NEEL	
			ART UNIT 2876	PAPER NUMBER

DATE MAILED: 10/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/694,739

Applicant(s)

KUBOTA, AKIHITO

Examiner

Allyson N Trail

Art Unit

2876

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
 - 2) ☐ Certified copies of the priority documents have been received in Application No. ____.
 - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 10/29/2003.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Priority

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

Information Disclosure Statement

2. The foreign patent documents listed on the Information Disclosure Statement were not considered. In order to be considered, please provide either an English translation of the abstract or a statement of the documents' relevance.

Claim Objections

3. Claim 8 is objected to because of the following informalities:

Re claim 8, line 11: replace "such that the a form" with --such that a form--.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claim 1-19 are rejected under 35 U.S.C. 102(e) as being anticipated by Lynggaard (2003/0138144).

Lynggaard teaches the following in regards to claims 1, 2, 6, 7, 11, 15, and 16:

“The present invention relates to a method, a system and a computer-readable medium storing computer-executable components for improving a character recognition process. The invention is based on the idea that a sequence of characters is received from a character recognition process and compared to predetermined strings of characters. A measure of matching is generated for each string of characters that is compared to the sequence, indicating which one of the compared strings that best matches the sequence. Based on the measure of matching, a sequence of characters is provided, comprising at least one string selected from the predetermined strings, thereby creating an output sequence of characters. Thus, it is possible to correct an erroneous sequence of characters received from the character recognition process by providing a predetermined string of characters, which string has the highest probability of matching the handwritten text fed to the character recognition process.” (Abstract).

“According to even further embodiments of the invention, the output sequence of characters is presented to the user on a display of a device, such as a mobile phone, a PDA, a laptop or a digital pen etc.” (Page 1, paragraph 0012).

“After the identification of segments, said segments are to be compared with preloaded strings contained in tables, which tables are located in a database or some other equivalent storage means. The database or its equivalent is located in the pen or in some external device in connection to the pen, such as a

mobile phone, a PDA, a computer or a server. The preloaded strings consist of preloaded e-mail addresses which, of course, are known to be correct. The object is to find strings in the tables that matches what the user actually wrote, thereby correcting a potentially erroneous output from the character recognition process." (Page 3, paragraph 0027).

Lynggaard teaches the following in regards to claims 5, 10, 14, and 19:

"According to an embodiment of the invention the received sequence of characters consists of an e-mail address and the identification of segments in the sequence is performed by delimiting said segments with the characters "@" and This is an easy way to identify segments in an e-mail address, but the present invention could be applied on other formats as well, such as web addresses, intranet addresses and WAP addresses, as long as it is possible to segment the sequence of characters." (Page 1, paragraph 0009).

Lynggaard teaches the following in regards to claim 3, 4, 8, 9, 12, 13, 17, and 18, (the limitation of the display disclosed in claims 9 and 13 is taught by Lynggaard, see Lynggaard's teachings in reference to claim 1):

"FIG. 2 shows a character recognition process, wherein every letter is handwritten in a predetermined e-mail address field. A character recognition process outputs a list of characters for each position, and an actual matching probability for each character. The sequence of characters that the character recognition process proposes is the sequence that is made up of the characters that has the highest matching probability in each position." (Page 2, paragraph 0025).

“For example, segment A output from the character recognition process is identified as "jobn". This segment is compared to the strings of characters in Tab A. By comparing the segment to the strings in Tab A on a character by character basis, the string "john" matches the segment "jobn" to a measure of 75%, under the condition that each of the characters "j", "o", and "n" is given a matching probability of 1 (100%), respectively. In other words, they are considered exact matches. If a character is not considered to be an exact match, it is given, in this specific example, a matching probability of 0. The measure of matching is calculated as $(1+1+0+1)/4=75\%$. The other segments, "hotmail" and "com" are compared to the strings in Tab C and Tab B, respectively. Each of the characters in these two segments is considered to be an exact match, and thus the measure of matching for these two segments is 100%, respectively, since these exact two strings exist in the tables. This specific embodiment is advantageous since a measure of matching can be calculated in a simple manner by giving, for each character in a specific position where there is a match between the segment and the-string, the character the matching probability 1. Each character in a specific position where there is no match is given the matching probability 0.” (Page 3, paragraph 0029).

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Allen et al (2004/0028295), Mault et al (2003/0208113), Butterworth (2003/0169923), Hou (2002/0131636), Hess et al (2002/0065104), Neukermans et al (2002/0011558), and Yokota (6,334,003).

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7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to *Allyson N. Trail* whose telephone number is (571) 272-2406. The examiner can normally be reached between the hours of 7:30AM to 4:00PM Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee, can be reached on (571) 272-2398. The fax phone number for this Group is (703) 872-9306.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [allyson.trail@uspto.gov].

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Allyson N. Trail
Patent Examiner
Art Unit 2876
September 29, 2004

Jared J. Fureman

**JARED J. FUREMAN
PRIMARY EXAMINER**